2-18-04

2-24-04/52

TRANSMITTAL		Application Number	09/766,519
57	•	Filing Date	January 19, 2001
FORM		First Named Inventor	Charles A. Jennings
(to be used for all correspondence after in	nitial filing)	Group Art Unit	2152 FEB ?-3
		Examiner Name	Not Yet Assigned Technology Co
Total Number of Pages in This Submission		Attorney Docket Number	389175
	ENCL	OSURES (check all that apply)	2'
Fee Transmittal Form		ment Papers Application)	After Allowance Communication to Group
Fee Attached	☐ Drawin	g(s)	Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group
Amendment / Response	Licensi	ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information
After Final	Petition	1	Proprietary Information
Affidavits/declaration(s)		n to Convert to a onal Application	☐ Status Letter / TC 2
Extension of Time Request		of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):
Express Abandonment Request	Termin	al Disclaimer	Form PTO 1449 Copies of three (3) cited
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Response to Missing Parts/ Incomplete Application			
Response to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNA	TURE OF A	APPLICANT, ATTORNEY, C	R AGENT
Firm or Individual name James M. Stipek			
Signature M Stock			
Date February 17, 2004		7	
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CERTIFICATE OF	Matter No.		
Applicant(s): Charles	389175		
Serial No.	Filing Date	Examiner	Group Art Unit
09/766,519	January 19, 2001	Not Yet Assigned	2152

For: SYSTEM AND METHOD FOR STREAMING MEDIA

I hereby certify that this: Transmittal Form (1 page); Information Disclosure Statement (2 pages); Form PTO-1449 (2 pages); copies of three (3) cited references; and return post card are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 (Alexandria, VA 22313-1450, on this 17th day of February, 2004.

FEB 2:3 2004

Technology Center 2100

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Name of Depositor

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ABplicant(s)	Charles A. Jennings et al.	Examiner	Not Yet Assigned
Serial No.	09/766,519	Group Art No.	2152
Filed	January 19, 2001		
For	System and Method for Streaming N	Media	
		- 1	February 16, 2004
Commissione P.O. Box 145			• /
	/A 22313-1450		
	INFORMATION DISCLO	OSURE STATEMENT	RECEIVED FEB 2:3 2004
Dear Sir:			
This Informat	tion Disclosure Statement is submitte	d:	Technology Center 2100
×	under 37 CFR 1.97(b), or (Within three months of filing national application, or office action on the merits, whichever occurs last)	r date of entry of national application.	, or before mailing date of first
	under 37 CFR 1.97(c) together with ☐ Statement under 37 CFR 1.9 ☐ a \$180 fee under 37 CFR 1. (After the CFR 1.97(b) time period, but be	97(e), or 17(p), or	ce, which ever occurs first)
	under 37 CFR 1.97(d) together with ☐ Statement under 37 CFR 1.9 ☐ a \$180.00 fee set forth in 37 (Filed after final action, a notice of alloward)	97(e)(1) or (2), and CFR 1.17(p).	e)
are aware, wh	Applicant(s) submit herewith her with copies of patents, publication ich applicant(s) believe(s) may be man there may be a duty to disclose in accordance.	ns or other information o aterial to the examination	f which applicant(s) of the application
patents or U.S information of	This Application was filed a ewith Form PTO 1449 – Information 5. publications, but includes copies of f which Applicant(s) is/are aware, whon of the application and for which the 1.56.	Disclosure Citation with foreign patents, publication applicant(s) believe(out copies of U.S. ions or other s) may be material to
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PATENT Attorney Docket No. 389175 Express Mail Label No. EL 927874231 US

as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counter part foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith

It is requested that the information disclosed herein be made of record in this application

Respectfully submitted,

LATHROP & GAGE LLC

James M. Stipek, Reg. No. 39,388

2345 Grand Boulevard, Suite 2300

Kansas City, Missouri 64108

Tel No: 816/460-5848 Fax No: 816/292-2001

PTO/SB/08a (08-03)

Charles A. Jennings

Not Yet Assigned

2152

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First Named Inventor

Examiner Name

Art Unit

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet of 2 389175 Attorney Docket Number

		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of	
Examiner Initials *	Cite No. ¹	Number - Kind Code ² (if known)		Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS						
Examiner Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant			
Initials*		Applicant of Cited Document	Passages or Relevant Figures Appear	T [®]			
		EP 1 191 441 A 2	03-27-2002	Sony Corp.			
		- 18.4 H- 10.11					
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 09/766,519 Filing Date January 19, 2001 First Named Inventor Charles A. Jennings Art Unit 2152 Examiner Name Not Yet Assigned

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Substitute for form 1445 (STIP AD

Sheet of 2 Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	- The man (a con magazine) journal, contain contains a contain contains to		
		WOLF, L.C. et al: "Issues of Reserving Resources in Advance," Fifth International Workshop on Network and Operating System Support for Digital Audio and Video, Proceedings, Durham, NH, USA, April 19-21, 1995, pp. 27-37.	
		SCHILL, ALEXANDER et al: "Resource Reservation in Advance in Heterogeneous Networks with Partial ATM Infrastructures," - Infocom May 1997, pp. 611-618.	
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